

#3

FORMALITIES LETTER



OC00000005232911

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/553,956	04/21/2000	Thomas A. Runkler	50277-452

McDermott Will & Emery
600 13th Street N W
Washington, DC 20005-3096

Date Mailed: 07/11/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$486.
 - \$252 for 14 total claims over 20.
 - \$234 for 3 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1306.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/13/2000 SDENB001 00000011 150635 09553956

01 FC:101	710.00 CH
02 FC:105	130.00 CH
03 FC:102	240.00 CH
04 FC:103	252.00 CH

Sector #3

Docket No.: 50277-452

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

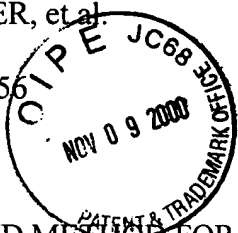
In re Application of

Thomas A. RUNKLER, et al.

Serial No.: 09/553,956

Filed: April 21, 2000

For: SYSTEM AND METHOD FOR GENERATING DECISION TREES



Group Art Unit: 2771

Examiner:

**RESPONSE TO NOTICE
OF MISSING PARTS OF APPLICATION**

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice of Missing Parts of Application dated July 11, 2000, submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration (which is in compliance with 37 CFR 1.63)
2. Statutory Basic filing fee of \$710.00
3. Late Filing Fee Surcharge of \$130.00
4. Additional claim fee of \$492.00
5. Petition for 2-month Extension of Time and fee of \$390.00

Please charge Deposit Account No. 150635 in the amount of \$1,722.00 (which includes the extension of time fee). Please charge any shortage in fees due in connection with the filing

09/553,956

of this paper to Deposit Account 150635 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY



Stephen C. Carlson
Registration No. 39,929

600 13th Street, N.W.
Washington, DC 20005-3096
(202) 756-8000 SCC:klm
Date: November 9, 2000
Facsimile: (202) 756-8087